

Montana 21st Century Community Learning Centers  
AT-RISK ASSESSMENT FOR PROGRAM COMPLIANCE MONITORING

Grantee: \_\_\_\_\_

Date: \_\_\_\_\_

OPI Reviewer: \_\_\_\_\_

<b>1) Has the grantee been found to be non-compliant with agency's Fiscal Risk Assessment?</b>	YES? -- Score this grantee as HIGH risk	NO? -- Complete step 2.
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2) Complete all items below.

		Category			WEIGHT	Score (category* weight)
At Risk Indicator		Low (0)	Moderate (1)	High (2)		
Grant Performance	<b>Students Served:</b> The grantee has identified and is serving eligible students and their families consistent with the original grant application. (Compliance A1) <i>(Only include students attending 30+ days in calculation)</i>	Met students served goals (per grant application) by margin of 90% or above	Met students served goals (per grant application) by margin of 80%-89%	Were under enrolled by 79% or less.	1	
	<b>APR:</b> The grantee complies with all OPI data collection and reporting requirements including submittal of all data reports. (Assurance 7)	Data for all 3 reporting periods provided prior to deadlines.	Late on one reporting period.	Late on 2-3 reporting periods.	2	
	<b>Outcome Data (GPRA):</b> The grantee participates in the state's data collection and evaluation in a timely and complete manner (Compliance A16)	For teacher surveys, there was a 70% + response rate.	50-69% response rate.	Response rate of less than 50%	2	
Management	<b>Monitoring &amp; Compliance:</b> Consideration should be given to the results of previous audits (EDGAR)	No corrective action plans have been needed based on monitoring	A finding resulting in a corrective action plan was identified within the prior two years.	Two or more findings resulting in corrective action plans were identified within the prior two years.	2	
	<b>Communication:</b> The grantee should work in active collaboration with schools and any other partnership entities as well as OPI. (Compliance A15)	Grantee is responsive	1 complaint has been logged by internal or external partners and/or the grantee has been inconsistently responsive.	2 or more complaints have been logged by internal or external partners and/or the grantee has been unsatisfactorily responsive.	1	
	<b>Consortia:</b> Consideration should be given whether the grantee has new or substantially changed systems (EDGAR)	Not a consortium	<i>(no moderate category)</i>	Consortia	1	

2) Complete all items below (continued).		Category			WEIGHT	Score (category* weight)
	At Risk Indicator	Low (0)	Moderate (1)	High (2)		
Operations	<b>Hours:</b> The grantee is providing a minimum of 40 hours per month during non-school hours. (Compliance A3.1)	40+ hours are provided monthly on average in which programming occurs	30-39 hours provided	Less than 30 hours provided	1	
	<b>Organizational Changes:</b> Consideration should be given whether the grantee has new personnel (EDGAR)	No change in key personnel	(no moderate category)	There is a new Superintendent, 21st CCLC Program Director, or 21st CCLC Fiscal Agent	1	
	<b>Professional Development:</b> The grantee will participate in two required regional meetings per year and one state conference. (Assurance 9)	Attended all required trainings.	Missed one training.	Missed 2+ required trainings.	1	
Fiscal Management	<b>Drawdowns:</b> The grantee expends 21st CCLC funds appropriately. (Compliance A10)	6+ drawdowns performed	3-5 draw downs	1-2 draw downs	2	
	<b>Budget Requests:</b> The grantee expends 21st CCLC funds appropriately. (Compliance A10)	No requests for expenses not in budget	1-2 requests for expenses not in budget	Over 2 requests for expenses not in budget	2	
	<b>Expenditures:</b> The grantee expends 21st CCLC funds appropriately. (Compliance A10)	Has used all funding appropriately	(no moderate category)	Has returned federal funds to the state within the prior two grant years	2	
	<b>Fiscal Reporting:</b> The grantee reports on 21st CCLC funds. (Assurances)	Budget for all 3 reporting periods provided prior to deadlines.	Late on one reporting period.	Late on 2-3 reporting periods.	2	
					TOTAL	

**3) Select the Risk Category for the grantee based on their total score. (Range is 0-40)**

- [ ] High Risk (32-40) - requires Compliance & Quality Monitoring and Tier 3 supports  
 [ ] Moderate Risk (24-31) - requires Targeted Monitoring and Tier 2 supports  
 [ ] Low Risk (0-23) - would benefit from Tier 1 supports